

# Paraconsistent and Paracomplete Set Theory

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# 1. Motivation

## Classical, paracomplete, and paraconsistent logic

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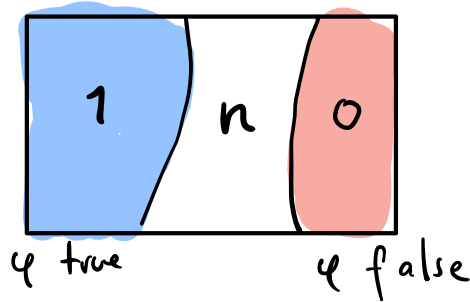
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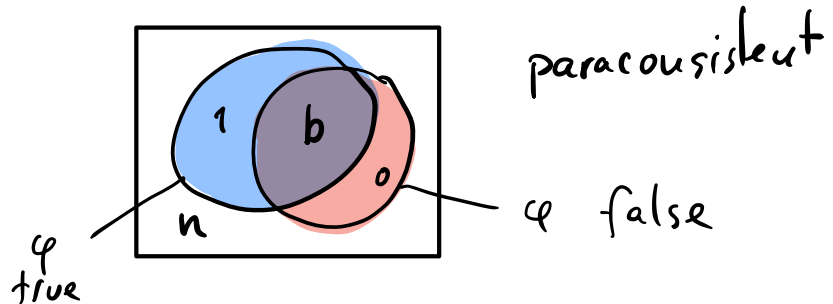
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- ▶ Paracomplete and paraconsistent:  $\varphi$  can be **true**, **false**, **neither**, or **both**.



paracomplete



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- ▶ Our approach is different: we do not consider Russell's Paradox as something that must be avoided.
- ▶ Rather, the goal is to provide a mathematically coherent foundation for paraconsistent and paracomplete sets with a clear **model theory**.



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## Why?

- ▶ Usually paraconsistent set theory is motivated by a desire to satisfy **full comprehension** and avoid Russell's Paradox.
- ▶ Our approach is different: we do not consider Russell's Paradox as something that must be avoided.
- ▶ Rather, the goal is to provide a mathematically coherent foundation for paraconsistent and paracomplete sets with a clear **model theory**.
- ▶ Carefully looking at the axioms and translating them correctly—seems to overcome several obstacles faced by previous authors.

## 2. The logic BS4

### Definition

- ▶ Usual syntax of FOL (negation denoted by  $\sim$ ).
- ▶ A **True/False-model**  $\mathcal{M}$  consist of a domain, and for every relation symbol  $R$  (including  $=$ )
  - a “positive” interpretation  $(R^{\mathcal{M}})^+$  and
  - a “negative” interpretation  $(R^{\mathcal{M}})^-$ .

## 2. The logic BS4

### Definition

- ▶  $\mathcal{M} \models^T R(t_1, \dots, t_n)[\dots] \iff R^+(t_1^{(\mathcal{M}, \dots)}, \dots, t_n^{(\mathcal{M}, \dots)})$  holds.  
 $\mathcal{M} \models^F R(t_1, \dots, t_n)[\dots] \iff R^-(t_1^{(\mathcal{M}, \dots)}, \dots, t_n^{(\mathcal{M}, \dots)})$  holds.
- ▶  $\mathcal{M} \models^T \sim\varphi \iff \mathcal{M} \models^F \varphi$ .  
 $\mathcal{M} \models^F \sim\varphi \iff \mathcal{M} \models^T \varphi$ .
- ▶  $\mathcal{M} \models^T \varphi \wedge \psi \iff \mathcal{M} \models^T \varphi$  and  $\mathcal{M} \models^T \psi$ .  
 $\mathcal{M} \models^F \varphi \wedge \psi \iff \mathcal{M} \models^F \varphi$  or  $\mathcal{M} \models^F \psi$ .
- ▶  $\mathcal{M} \models^T \varphi \rightarrow \psi \iff$  if  $\mathcal{M} \models^T \varphi$  then  $\mathcal{M} \models^T \psi$ .  
 $\mathcal{M} \models^F \varphi \rightarrow \psi \iff \mathcal{M} \models^T \varphi$  and  $\mathcal{M} \models^F \psi$ .
- ▶  $\mathcal{M} \models^T \exists x\varphi(x) \iff \mathcal{M} \models^T \varphi[a]$  for some  $a \in M$ .  
 $\mathcal{M} \models^F \exists x\varphi(x) \iff \mathcal{M} \models^F \varphi[a]$  for all  $a \in M$ .
- ▶  $\mathcal{M} \models^T \perp \iff$  never.  
 $\mathcal{M} \models^F \perp \iff$  always.

## 2. The logic BS4

### Definition

- ▶  $\varphi \vdash_{\text{BS4}} \psi$  means that for all True/False-models  $\mathcal{M}$ , if  $\mathcal{M} \models^T \varphi$  then  $\mathcal{M} \models^T \psi$ .
- ▶ It is not hard to formalize a sound and complete proof calculus for BS4 (but we will skip this).

## 2. The logic BS4

### Truth Value

$$\llbracket \varphi \rrbracket^{\mathcal{M}} := \begin{cases} 1 & \text{if } \mathcal{M} \models^T \varphi \text{ and } \mathcal{M} \not\models^F \varphi \\ \mathbf{b} & \text{if } \mathcal{M} \models^T \varphi \text{ and } \mathcal{M} \models^F \varphi \\ \mathbf{n} & \text{if } \mathcal{M} \not\models^T \varphi \text{ and } \mathcal{M} \not\models^F \varphi \\ 0 & \text{if } \mathcal{M} \not\models^T \varphi \text{ and } \mathcal{M} \models^F \varphi \end{cases}$$

## 2. The logic BS4

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	$\sim$
1	0
b	b
n	n
0	1

$\wedge$	1	b	n	0
1	1	b	n	0
b	b	b	0	0
n	n	0	n	0
0	0	0	0	0

$\vee$	1	b	n	0
1	1	1	1	1
b	1	b	1	b
n	1	1	n	n
0	1	b	n	0

$\rightarrow$	1	b	n	0
1	1	b	n	0
b	1	b	n	0
n	1	1	1	1
0	1	1	1	1

$\leftrightarrow$	1	b	n	0
1	1	b	n	0
b	b	b	n	0
n	n	n	1	1
0	0	0	1	1

	$\perp$
	0

## 2. The logic BS4

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Is  $\perp$  against the “spirit” of paraconsistent logic?

- ▶ If we only have finitely many relation symbols  $R_1, \dots, R_n$  then

$$\perp \equiv \forall x \forall y (x = y \wedge \sim(x = y)) \wedge \forall \bar{x} (R_1(\bar{x}) \wedge \sim R_1(\bar{x})) \wedge \dots \wedge \forall \bar{x} (R_n(\bar{x}) \wedge \sim R_n(\bar{x}))$$

Only the “trivial model” can satisfy  $\perp$ .



## 2. The logic BS4

### BS4 can talk about classical concepts

- ▶ We can define the **classical negation**:

$$\neg\varphi \equiv \varphi \rightarrow \perp$$

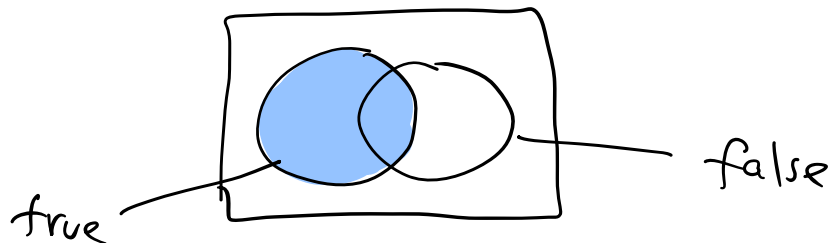
- ▶ We can define **presence of truth**:

$$!\varphi \equiv \sim\neg\varphi$$

- ▶ We can define **absence of falsity**:

$$?\varphi \equiv \neg\sim\varphi$$

## 2. The logic BS4



$\varphi$	$\sim\varphi$	$\neg\varphi$	$!\varphi$	$?\varphi$
1	0	0	1	1
b	b	0	1	0
n	n	1	0	1
0	1	1	0	0

↑  
presence  
of truth

↑  
absence of  
falsity

# 3. Paraconsistent and Paracomplete set theory

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## Extensions, anti-extensions and ?-extensions

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  - Extension: those  $y$  for which  $y \in x$  is **true**.
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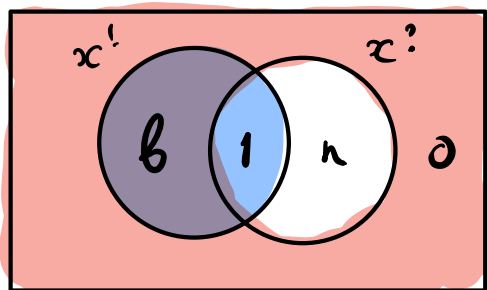
- ▶ In ZFC, a set  $x$  is identified with its **extension**  $\{y \mid y \in x\}$ .
- ▶ In a paraconsistent and paracomplete logic:
  - Extension: those  $y$  for which  $y \in x$  is **true**.
  - Anti-extension: those  $y$  for which  $y \in x$  is **false**.
- ▶ Problem: anti-extensions are **proper classes**.
- ▶ Instead, we will talk about the **complement** of the anti-extension:
  - ?-extension: those  $y$  for which  $y \in x$  is **not false**.

### 3. Paraconsistent and Paracomplete set theory

#### Extension and ?-extension

$$x^! := \{y \mid !(y \in x)\}$$

$$x^? := \{y \mid ?(y \in x)\}$$



$$\llbracket y \in x \rrbracket$$



### 3. Paraconsistent and Paracomplete set theory

#### Consistent, complete and classical sets

- ▶  $x$  is **consistent** if  $x^! \subseteq x^?$
- ▶  $x$  is **complete** if  $x^? \subseteq x^!$
- ▶  $x$  is **classical** if  $x^! = x^?$

↑  
These statements can be expressed in BS4

# 3. Paraconsistent and Paracomplete set theory

\*

## The PZFC Axioms

1. Extensionality:  $\forall x \forall y (x = y \Leftrightarrow \forall z (z \in x \Leftrightarrow z \in y))$
2. Comprehension:  $\forall u \exists x \forall y (y \in x \Leftrightarrow y \in u \wedge \varphi(y))$
3. Classical superset:  $\forall x \exists C (x \subseteq C \wedge C \text{ is classical})$
4. Replacement:  $(\varphi \text{ is classical} \wedge \forall x \exists y (\varphi(x, y) \wedge \forall z (\varphi(x, z) \rightarrow \neg(y = z))) \rightarrow \forall x \exists y \forall z (z \in y \Leftrightarrow \exists w (w \in x \wedge \varphi(w, z)))$
5. Pairing:  $\forall u \forall v \exists x \forall y (y \in x \Leftrightarrow (\neg(y = u) \vee \neg(y = v)))$
6. Power Set:  $\forall u \exists x \forall z (z \in x \Leftrightarrow \neg(z \subseteq u))$
7. Union:  $\forall u \exists x \forall y (y \in x \Leftrightarrow \exists z (y \in z \wedge z \in u))$
8. Infinity:  $\exists x (\emptyset \in x \wedge \forall y (y \in x \rightarrow y \cup \{y\} \in x))$
9. Foundation:  $\forall x (\forall y \in (x^! \cup x^?) \varphi(y) \rightarrow \varphi(x)) \rightarrow \forall x \varphi(x)$
10. Choice:  $\forall u (\forall x \in u \exists y \in x) \rightarrow \exists f \text{ function s.t. } \forall x \in u (f(x) \in x)$

\*  $(\varphi \Rightarrow \psi)$  means  $(\varphi \rightarrow \psi) \wedge (\neg\psi \rightarrow \neg\varphi)$

## 4. The anti-classicality axiom.

- ▶ None of the axioms of PZFC guarantee the existence of non-classical sets.  
In fact

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- ▶ We want to set up a system that can prove the existence of non-classical sets.
- ▶ But which ones exactly?
  - Conservative: there exists **at least one** inconsistent and incomplete set.
  - Maximizing: for **any** pair of classical sets  $u, v$  there is a set  $x$  whose extension is  $u$  and  $?$ -extension is  $v$ .

## 4. The anti-classicality axiom.

### Theorem (PZFC)

Suppose there exists an incomplete set and an inconsistent set. Then for **any** classical sets  $u, v$ , there exists a set  $x$  such that

$$x^! = u \quad \text{and} \quad x^? = v$$

## 4. The anti-classicality axiom.

### Definition

We add the Anti-Classicality Axiom to our system:

$$\mathbf{ACLA}: \exists x(x \text{ is inconsistent}) \wedge \exists x(x \text{ is incomplete}).$$

and extend the theory:

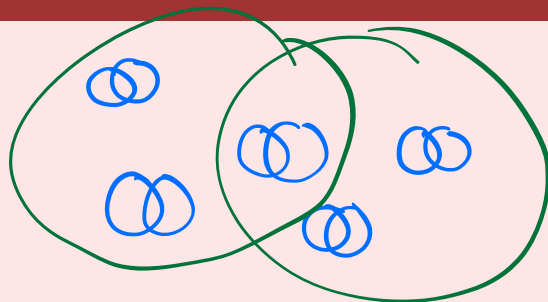
$$\mathbf{BZFC} \equiv \mathbf{PZFC} + \mathbf{ACLA}.$$



# 5. Model of BZFC and bi-interpretability.

## Definition (ZFC)

- ▶  $W_0 := \emptyset$
- ▶  $W_{\alpha+1} := \mathcal{P}(W_\alpha) \times \mathcal{P}(W_\alpha)$
- ▶  $W_\lambda := \bigcup_{\alpha < \lambda} W_\alpha$
- ▶  $\mathbb{W} := \bigcup_\alpha W_\alpha$



For all  $(a, b), (c, d) \in \mathbb{W}$  define

- ▶  $(a, b) \in^+ (c, d)$  iff  $(a, b) \in c$
- ▶  $(a, b) \in^- (c, d)$  iff  $(a, b) \notin d$
- ▶  $(a, b) =^+ (c, d)$  iff  $(a, b) = (c, d)$
- ▶  $(a, b) =^- (c, d)$  iff  $\exists z \in a \setminus d$  or  $\exists z \in c \setminus b$ .

## 5. Model of BZFC and bi-interpretability.

### Theorem (ZFC)

$(\mathbb{W}, \in^+, \in^-, =^+, =^-) \models \text{BZFC}.$

### Corollary

If ZFC is consistent, then BZFC is non-trivial (i.e.,  $\text{BZFC} \not\vdash \perp$ ).

## 5. Model of BZFC and bi-interpretability.

### Definition (BZFC)

- ▶  $\text{HCL}_0 := \emptyset$
- ▶  $\text{HCL}_{\alpha+1} := \{X \subseteq \text{HCL}_\alpha \mid X \text{ is classical}\}$
- ▶  $\text{HCL}_\lambda := \bigcup_{\alpha < \lambda} \text{HCL}_\alpha$
- ▶  $\text{HCL} := \bigcup_\alpha \text{HCL}_\alpha$

$\text{HCL}$  is the class of **hereditarily classical sets**.

## 5. Model of BZFC and bi-interpretability.

### Theorem (BZFC)

$\text{HCL} \models \text{ZFC}$ .

### Corollary

If BZFC is non-trivial, then ZFC is consistent.

## 5. Model of BZFC and bi-interpretability.

In fact, we can be more precise. Let  $V$  denote the universe of ZFC and  $W$  the universe of BZFC.

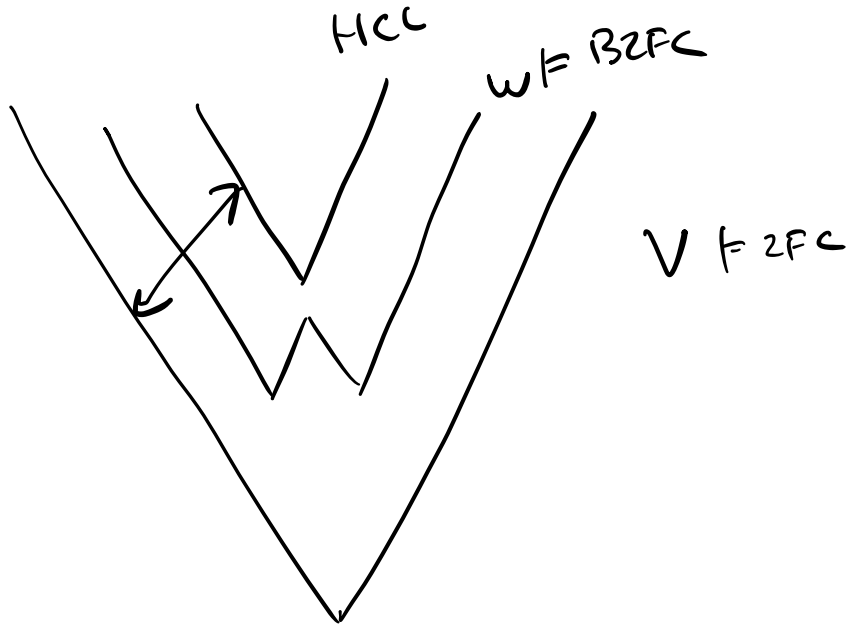
Theorem (ZFC)

$$\text{HCL}^W \cong V$$

Theorem (BZFC)

$$W^{\text{HCL}} \cong W$$

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### Corollary

- ▶  $\text{ZFC} \vdash \varphi$  iff  $\text{BZFC} \vdash (\text{HCL} \models \varphi)$
- ▶  $\text{BZFC} \vdash \varphi$  iff  $\text{ZFC} \vdash (\mathbb{W} \models \varphi)$



## 5. Model of BZFC and bi-interpretability.

If we want a paracomplete and paraconsistent set theory:

BZFC describes a rich universe consisting of classical and non-classical sets. ZFC can then be viewed as the theory of  $\mathbb{HCL}$ , and all of classical mathematics as taking place within  $\mathbb{HCL}$ . Whenever we encounter a phenomenon that is better described by paracomplete or paraconsistent sets, we can switch to BZFC and take full advantage of the anti-classicality axiom.

If we want to preserve a classical meta-theory:

BZFC can be viewed as the theory of the True/False-model  $\mathbb{W}$ , and all of paraconsistent and paracomplete set theory as taking place within  $\mathbb{W}$  (provably in ZFC).

Thank you!

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